CHAPTER XI - THE COMPANIES (APPOINTMENT AND QUALIFICATION OF DIRECTORS) RULES, 2014.

BEGP. "Q. p. Che Gazette of Stidia 1891 No. 1891 O'TRMUNARY •r 'I—or (1) PART (i) PUBLISHED BY AUTHORIN a, 2014* 12, Ins NEW DELm, WEDNESDAY, APRIL 2, "14/CHAITRA 12, 036 31 qN, 2014 KT.".n.259 149 (1) (4), (6) F), 150 (3) 3V-a•rtT 151, 152 «q-etrtT (5), 153, 154, 157, 160, 168 (1) 170 1956 1956 (1956 1) a-qr t Rq•r t, t, «fq.- 1. •fir) 2014 " 2013 (2013 1 8) t; er) 2000 (2000 21) 2 (1) 1510 GI/2014 2 THE GAZEITE OF INDIA : EXTRAORDINARY U—SEC. 2013 i (@7) 2008 (2009 (g) 2000 (2000 21) 2 R) 2014 t; (z) 164 (1) * a (v) 167 (1) 1956 t: 1956 (1956 42) 1992 (1992 15) 1996 (19% 22) 2000 (2000 21) t, (ii) t 149 (1) 3 (ii) O, (iii) ur, Off: «•rq•r QT<, «•r $$iuÄ, 4T ZTZT e-rq•T (q) (T) 4 THE GAZETTE OF INDIA : EXTRAORDINARY [PART L—E. 30] (c) (ii) (iii) (iii) (iv) (3) q•rgtrr a-fi az•r t, (6) qqq•r t, &trt (7) Orr; €TZT& 11-•q 3(1)] t errR« tar; (4) 149 (6) 149 (7) (5) 152 (M) 164 t, 167 (T) 149 (6) tl 6 THE GAZEITE OF INDIA : EXTRAORDINARY [PART "—SEC. 3(i)] (9) err*t a-fi 2014 2014 (3) qi•fi (ii) (iii) Rq•r (ii) qr ar-r, *r 10. (2) #€zrr 9 ufizrK(2) gr8 3(i)] Ü•rr 11. •MT, VRT 7 THE GAZETTE OF INDIA EXTRAORDINARY [PART 11—-SEC. (i) aft' 7TH t; 12. •m-(l) (iii) *r Orr; (q•frqia3t) (fi•R) 13. qq 9 14. 1" e) (1) 134 (2) 164 (2) 2 (eo) (5) o mvm 15. 16. wm- 2014 17. •r (1) "TQf•n 15/047119-2 10 THE GAZETTE OF INDIA : EXTRAORDINARY [PART 11—SEC. q-RT (21) 18, 2014 2013 150 30)] 3. 5. I. 11 12 THE GAZETTE OF INDIA : EXTRAORDINARY 152(5) 8 [PART L—s.. Mr 2013 162 2013 1. 2. 3. 4. 6. 8. 9. 10. 11. 12. 13 1. 2. 2013 'Ter 153, 2014 9(1) 2009 10 1. 2. 3. 4. 5. 6. t.R«r 14 8

9. THE GAZEITE OF IND[A : EXTRAORDINARY [PART u—sæ. 10. 11. .... .. 12. q•*étwt..... 13, „ 14. • 15, 16. 17. 2. 3. 4. 3(i)] 2013 2013 449 arr 448 "T 449 15 16 THE OF INDIA : ocrRAORDINARY tm•r R«, * t üqrtar-3 2. 164 169 2013 u•rrr mar tl 4. 2013 154 5. q-rq•T 448 1860 18 THE GAZEHE OF INDIA : EXTRAORDNARY 153 ftv, 2014 q-8T 8. qtr: 11 [PUT a—SBC. 11-808 3(i)] 10. Xq•r t O O gru•r« O (2) '*Tor: O 448 449 t 19 THE GAZETIE OF [NDIA : EXTRAORDINARY [PART II—SE. 3(i)] D.I.A ».V.E a.æu kh.h. k p.h.u. ' • • """llæ.> [(DE 2nA -11 22 THE OAEiTE OF INDIA EXTRAORDINARY [PART "—SEC. 301 rr«r t; t 2013 448 448 449 t C qm 3(i)] 0 C] C] 23 2014 171M) 24 2. THE GAZETTE OF INDIA EXTRAORDINARY 169 2013 2013 154 "f 448 1860 164(2) 2014 14(1) 2013 164(2) a-ft 25 2013 164(2) 2014 14(2) 164(2) 2013 IN(2) (ii) is 10 26 (9 THE GAZETTE OF INDIA : EXTRAORDINARY MIR) (lb [PART II—SEC. 11-€vg 3(i)] 27 164(2) *1') 2014 14(5) THE GAZETTE OF : EXTRAORDNARY 2013 168(1) orr 2010 R•« 16 t (T) •a.fi... 4 5. 29 (1) (4) 30 THE GAZETTE OF INDIA : EXTRAORDINARY 2013 7(1) 168 170(2) 8, 15 18 [PART 11--SEC. 2. 3. 4. 5. (M) 1 1 tur i. (tar«.... 31 6. 7. 32 THE GAZETTE OF INDIA : EXTRAORDINARY [PART (1) (7) t 201S 33 MINISTRY OF CORPORATE AFFAIRS NOTIFICATION New Ddhi, the 31st Much, 2014 G.SR. 2S9 exercise of the powers confered under sub-section (l), sub-seün (4), clause (D of sub-section (6) of section 149, sub-sectims (3) aM (4) of section 150, 151, sub-section (S) of seeim if2. section 153, section 54, section 157, sectim 160, sub-section (l) of section 168 end sætion 170 with section 469 cf tlw Companies Act, 2013, and in superession of Nw Companies (Cattral Govanment'.s) General Rulß and F«ms, 1956 or any other rules prescribed under the Companies Act, (l of 1956) on mana•s covaed under t}xse rules, excen as respects things done or omitted to be dot* befre such supersessim, tte Cemral Govemment herby makes the Ollowing rules, namely:- I. Short title and These rules may be called the (Appdntment and Qualification of Directors) Rules, 2014. (2) They shall cony into force on the 1st of Axil, 2014. 2. i) In these rulß, unless the ccmtext otherwise requires,— (a) • •Act" means the Companiæ Act, 2013 (18 of 2013); t b) "Annexure" means the Annexure to these rules; (c) -digital signatuce" means the digital signature as defined clause (p) of sub-section (l) of section 2 0!' the Technology Act, 2000 (21 of (d) "Director [dentification Number" (DEN) means an identification number allomed by the Central individual. intending to be appointed as director or to any existing director of a cornsmny. for tlr purpose Ui bis identiiication as a director of a company: Provided that the Director [dentification Number (DIN) ±tained by the individuals mioc to the these rules shall be the DIN for the purpose of the Companies Act, 2013: includes the Desigtmed Pannership Provided tUrther that "Director Identification Numbat' (DIN) Identification Number (DPIN) issued under section 7 of the Limited Liability Pannership Act, 2008 (6 of 2009; and rules made thereunder: (e) "Clec!ronic record" means the electronic record as defined under

clause (t) of sub-section (l) of seatim 2 or Intormattore Technotogy Act, 2000 (21 of 2000); • •electronic Registry" means an electronic repository or storage system Of the .Central Government in whhh the iäiörmation or documents are received, stored, protected and preserved in electronic form; g) "Fees': means the fees as specified in the Companies (Registration Offices and Fees) Rules. 2014; (h) "Form" or •'e-Form" means a form set forth in Annexure to these rules which Shull be used for the rutter which relates: (i) "Regional Direct«•• means tie person appointed by the Centrat GovernrMt in of (hponte as a Regional Director; O) "section" means section of the Aa•, 34 THE GAZETTE OF INDIA : [PART (k) FM the pups— ofclause (d) ofsub-segdm (l) 164 and clauæ (D Df•ub-EÄbn (i) 167 Aa, oåa•wise" means my in of be bea Cw•t C«pcnies Ae 1936. (2) Words in mese rule and not denned enned Act or Co—u (ReylAtian) Ad, 1956 (42 of 19%) thc Securiti— Boud of Ad, 1992 (IS W2) Doosit«ies Act, 1996 (22 Of 19%) or the Technolog AOC 2000 (21 of 2000) Cepaiæ (Specificatim of &6nitions details) Rules, 2014 shall have die m—niny r—pecdwiy Ad in those Ads such rules

3. Wam•• direeor on the Burd.—The -%llowing class of comßties shall appdnt leu 0M d—- (i) every listed company, (ii) public having - (a) paid—up share capinl of one hun&ed rore rupe— or more; (b) turnover of three hundred aore rupees or more: Provided that a company, which hw been incorponted tmder the Act and is covaed under Fovisions of xoviso to sub-section (1) of section 149 shall comply with such pmvisions within a period of six nwnths of its incorporation: Provided any intermiunt vacmcy of a woman director shali be filled-up the but later t.han immediate "At Board meeting or three rrmths •fran the dae of such vacuwy whictwa b ExplanMion. - FT the purposes of this rule, it is Izeby clarified thu ttE paid up sture capital or cze may be, as on the last date of latest audited finncial statements be taken into account. 4, Number or Independent following cla.ss or classes of canpanies sløll have at least tm •s dit•ectors - (i) the Public Compulies haying up share capital often crore rupees Of msv, (ii) the Public Companies baving tumover of one hundred cror rupees mm•e; or (iii) the Public Companies which have, in aggrewte, outstanding Ions, und exceeding fifty c-r«e rupees: Provided that in case a compy covered under this rule i* rNuired to appoint a higher of iüpau&nt directors due to composition of its audit cmnmittee, such higher number of independent directors shall be applicaue to it: Provided funher that any inmnittent vacancy of an inepaøent shall be by the Board at the earliest but not lat« then immediate next Boud meeting or tM•ee ffm date of swh vacancy, is Provided also that where a compny æas— to fblfil any of thre conditions laid down in sub-rule (I) consecutive years, it shall rwt be requited to comply with ttese ß0Visions until such dme as it meets any of such conditions; Explanaion. • For the purposes of this rule, it is here by clarified that, the paid up share capu or turnover or wetanding loans, debemur— and deposits, as the case may be, as existing on the last date of lat%t audited finncial statements be taken into account: Provided that company belonging to any class of companies which a hØer numb& of dir'Rtors has been specified in dE law the time Wng in force stell coaWy with the requirenme specified in such law. [VPT 11-0* 3(i)] 35 S. Qual&atio.• or possæs skills, exp«ience and knowledge in one or moe fields of fi•ee, —n, sab, mukü& r—euch. corporate gowamnce, ecmical to 6. Cre.Öon and or date buk otp•ma: Any instkute or associatbn (hereinafter to be re&red "the egawy"), which been autiMised ii this by Central Govannwnt 8hRll and maintain a of pasmu willing atW eligibk to be appointed u indepaht dirwt« and such do stnll be on the webite Minifiy ofCOIBü Affairs on any websiE may be apvoved or "Nified by the Central Oovemnrnt. (2) he do referred to in (I) shah cmtü the foliowing &tails in of each pasoa included in data bank to be eligible and willing to be a*nted as irøepen&n: (a) (b) (c) (d) (c) (D (g) (h) O) (k) (m) (n) (0) (p) DIN Number); the name and surname in ft"; income•tax PAN ; the fattes name and mother's name and Sp«nse's name (if married) ; date of Birth; gen&r; the nationality; occupatim; fi.lll Addess with PIN Code (presan pertnanent); number; e-mail id; the educational arut ßof—simal qua.lifimNons•, or ex'x•rtise, if any; uny legal proceedings initiated —ng against such person; tbe list of limited liability partnerships in which is or was • &8iyuated panner along with— the Mme of tte limited liabillw pan:wrship; the tuture of indißtry; uld the duratim• with the list of companis in which he is was director along with (i) tlr name of company; •n-IE GAZE'HE OF INDIA : EXTRAORMNARY (ii) (iv) the of be nare of direamhip — Executive or Dir«M or NoaiEe — with dae (A disclaimer shall be cmspicumasly displwed the website honing &bank • due diligence before appointrna•ut of any pasm u •n director and a—q•" databank or the Central Gov«nrrmt shall be Wd responsible for the accumcyöf inbrmüion Of the whose ßrticut• form part of databank, (4 ; Any who &sixs to get his "me incli&d in the data bank of agency" in

Form DIR•I.) •me agency may charge a resonable fee from the applieam f« of his tn dan —t directors. (6; Ar» person who hß for inclusim of his name in the bank of my name in lhe , shall intimae to tbe agerwy aban any chuvs in his putiallrs w"Na of such change. databank posted the shall — (a) (b) (d) (e) be wcessibk at the w&ite•, be substantially to *yüal vasim of the data bmk; sm.r&ble on the qecißed in sub-Rile (2); be in a format or formats cmvnient bah contain a link 0Main the onware to vkw or the of charge

7. Small shareholders' director* l) A listed cumpany, may notice of than shareholders or one-tenth of total number Of stwh whichever.is "*r, have a director elected by lhe small ovided that nothing in this sub-rule shall rrevent a listed ccmpny to opt to mve a directr suo motu and in a case nvisions of sub—rule (2) *all not apply for of "Ö (2) The small share}wlders intending to propose a person e wn«fidate for the poa of small leave nDtice of their intention with the at hast four-teal the meaing Ying the name, address, shatB held and folio number of the person whose name bdng af and of the small shareholders who are proposing MWh person tbe of Provided iC the person being moposed does not hold any shar— in tbe gompany, tiE &tails of 'Eld number need be specified in the notice notice -;bätt accompanied by a sigswd by the whose name is shareholders' director stating — (a) his Director Identification Number; 37 (b) Out he iSTWt disqualified become. director under the Act; and (c) his cmsmt to as a direct(Y of the company (4) Swh director shall be considered an direct« subject to , his being eligible sub•section (6) of section 149 and his giving e &claration of his independence in accordance with sub-section (7) of section 149 of Lhe Act. (S) The appointment of small shareholders' director slull be subject to tlr provisions of section 1 52 except that- (a) NEhdirectÜ shall not be liable to retire by mtation; (b) such drector's tenure as stnall dire«or shatt not exceed a period of three consecutive yean; and (c) the expiry of tenure, sunh director shall nol be eligible for re-appointment. (6) A person shall rot be as small shareholdeß' directM• of a company, if the person is etigible for appointnwnt in terrns of section 164. A as small directm shall vacme the offce if- (7) (a) the director incurs any of tlE disqualifications in section 164; (b) ttE office of the directw becomes vacant in B.usuance of sectim 167; (c) ceases to tlr criteria of as provi&d in sub-section (6) of section 149. (8) No person shall hold the position of small sluehoiders' in more than two compani« at the same time: Pmvided tint seccmd company in which he has been appointed shall be in a business which is competing or is in ccmflict with the Hisiness of lhe first company. (9) A nall sharaolders' direct« shall rot, for a period of tlree years from the date on which he ceases to Inld offce as a small shuu•olders' director in a c"npany, be awointed in be associated with such cornpany in any Mha• capacity, eith« or indirectly

8. Cons—t to •ct •s directar.—Every pasm who hus been anointed to hold office of a directM shall on or befwe apointment furnish to ttr company a consen in writing act as such in Form DIR.2: Provided t.hm company shall, w'ittin thiny days of tte appointment of a direct(K, file such consent with the Registrar in Fmm DIR-12 along with the fee as provi&d in Companies (Registraim Offices and Fees) Rules, 2014. 9. A*k.doo tor allot—ent of Director Identmcatb• Every individual, who is to be awointed as direct« of a comß.ny shall make an application electrmically in Form DIR-3, to tlr Central Government for the all(l• ofa Number (DIN) along with such as the Cornpanies (Regist.ration Oflices F") Rules, 2014. (2) Ihe Gwemment *all provi& an electronic system to facilitate application for tlr allotment of DIN the p«tal on the websie of Ministry of Corporate Affairs. (3) (u) The shall F«ym DIR-3 frun portal, fill in the required ßrticulars sought ti—ein and sign tlz fm•m and aaaching copies of the fdlowing scan and file ttr entire Et of docuznatx electronically— (i) *wuvaph•, 38 THE GAZETTE OF INDIA : EXTRAORDINARY (PART 11—sæ. 3(Dl (ii) (iii) (iv) (v) proof of identity; proof of reqidence; verification by the for applying for allotrnent of DIN in Fcrm DIRA; specimen sigpature duly verified, nd (b) Form DIR-3 shall be signed and submitted electronically by the applicant using his or Err own Digital Certificate shall be verified digitally by • (i) a chatGred accountant in practice or a company secretary in practice or a cost accountant in practice; or (ii) a company secl*tary in full time employment of tlr company or by managing direcü)r or director of the company in which the anlicant is to be appointed as 10. Motme.t of DIN.- (l) On the submission of the Form DIR-3 on the portal and payment of the equisite amount of fees thmugh online mode "ovisional DIN shall be generated by the system automatically which shall not be utilimd till t.}x DIN is confirrned by the Cenn.l Governnrnt. (2) Aner generation of the provisional DIN, the Central Government shall process the applicati0N rceived for allotment of DIN uner sub—Nle (2) Of rule 9, decide on the approval or thereof and communicate the sanE to the applicant along with the DIN alloded in case of approval by way of a letta by post or electmnically or in any tno&, within a period of one month from tlr receipt of such application. (3) If the Central on examination, tin& such amlication to be defective

or incM1plete in any te»ect, it give intimation of defect or incompleeness, by placing it on the website and by email to who has filed such directing the aNicant to rectiO/ such &fects or inc«mpleteness by ræu&nitting the appli*im within a period of fiRæn days of such placing on the website and email: Pmvi&d that Govenunent shall • (a) reject the application and direct the applicant to file fresh application with cunplete nd correct infornutim, *'kere the &fect has been rectified partially or the information given is *ill found to be (b) treat uud label such application as invalid in the electronic record in case the defects ate not removed within the given time; and (c) inform the appliwnt either by way of letter by post or electronically or in any mo&, (4) In case of rejection or invalidation of the provisional DIN so allotted by the system shali ge lapsed and fee so paid with application shall neit}kT be refun&d nor adjusted with any otter applicatim. (5) All Direnr I&ntification Numb«s alloUed to by the Central Government before cummerwement of these rules be ±erred to have been allotted to dun under these rules. (6) Dlm•ctor Identification Number so alloned under these rulw is valid for life-time of the applicant and shall not be all'*tcd to my ot}ET pa•son. Canedl•don or surrender ar D—ctivatio. of DIN.- The Central Government or Regional Director 11. Region), Noida or any officer auth«ised by Regional Director may, upon being satisfied on verification of Miculats attactEd with applicatim received frxn any person, cancel deactivate tlz DIN in case — (a) tlr DIN is found to be duplicated in respect of the same person prov&d the data relaced to both the DIN sl-•øll be merged with the validly Mained number; 11-euz 3(i)] (b) the DIN wus obtained in a wrongful mann« or by fraudulmt rr•ns; (c) of the egth of the conce•ned individual; (d) tix cuwerned individual has been declared as a person of unsound mind by a cotnpemt Court; (e) if dE cmcemed individual has been adjudicated an insolvent: 39 Provided Out before cancellatim deactivation of DIN Xn•suant to clause (b). an «morttmity of being heard ±all be given to the conca•ned individual; (f) on an application made in Form DIR-S by the DIN holder tb surrender his or her DEN along with eclarüim thü has nev« been appointed as directcr in any company arw the said DIN has never bem used for filing of any document with any authority, Central Government may deactivate Sikh DEN: Provided that bef«e &activation of any DIN in such CBe, the Central Government shall veri9 e-recmds. Explanmion.- For the of clause (b) - (i) the term "wrongful means if the DIN is obtained on the strength of documents which are not legally valid or incomplete documents are furnished or suppression of material infomation or on the of wrong certifmtion or by nuking misleading false information or by misrepresentation; (ii) the term "fraüdulent means" means if the DIN is obtained with an intent to &ceive any other any a&rity including the Central Government

12. Intimation of changes in p•rtieulars specified in DIN application.- (I) Every individual who has been allotted a Director I&ntification Number under these rules shall, in the of any change in his particulars as stated in

Form DIR•3, intimate such change(s) to the Central Government within a period of thirty days of such change(s) in Form DIR-6 in the following manner, namely;• (i) the applicant shall download Form DIR-6 from the porml fill in the relevant changes, attach copy of the proof of the changed ßrticulars and verification in the Form DIR-I all of which shall be scanned and submitted electronically; (ii) the form shall be digitally signed by a chartered accountant in Factice or a company secretary in practice or a cost accountant in practice; (iii) the applicant shall submit the DIR-6; (2) The Centnl Government, upon being satisfied, ater verification of such changed particulars from the enclosed proofs, shall incorp'xae the said changes and inform the applicant by way of a letter by-post or electronically or in any other mode confirming die effect of such change in the electronic database maintained by the Ministry. (3) The DIN cell of the Ministry shall also intimate the change(s) in the particulars of the director submitted to it in Form DIR-6 to the concemed Registrar(s) under whose jurisdiction registered office of the company(s) in which swh individual is a director is situated. (4) The concenrd individual shall also intimate the change(s) in his particulars to the company or companies in which he is a director within fifteen days of such change

13. Notice of candidature or person ror directorsbip.• rn•te shall, at last seven days befm•e general meeting, inform its members of the candidature of a per-son for office of a director or the intention of a member to propose such pctson as a candidate for offce- 40 THE GAZEITE OF INDIA : EXTRAORDINARY [PART (1) saving individual mtices, on the manbas thru* el•cumic to sudi manba "'d their mail to thw compny fm commtmiwtioa mrposz, nd in wriNng •11 Ze; (2) by notice of such candidature imention on the ofbe canpany, if any: Pmvided that it shall not be necessry fm to serve individual notices aforesaid, if the sich cnö&ture cr teu thm befme orre in a vernacular rwwspaper in the FiNipal venocuhr tauw.e of the in which 'nnpany is situated, and circuMing in that dist.rict, at let once in English tnguage in circulating in dwt district. Dbq•aaneadon of dh•ectors uder sub-secaon (2) (l) Every direct« shan inform the ccmpany about his disqualification Alb-Büioa (2) ofte i", if u•.y, in Fmn DIR-8 before is (2) Whenever a fails to file the financial staements or annual retums, or fails to .y any dQ0'it, iÄ, dividend, or fails to redeem its debentures, as in (2) of section 164, the company ahau file

Form DIR-9, to thc Registrar furnishing tha•än the names and of ali the directors of the empuy the reievant financiat years. (3) When a ccmpany Bils 10 fiie the Form DIR-9 within a pa•iod Of thirty days of the iilure wouw disqualification un&r sub-section (2) of ection 164, offtcers of the canpany specified in clau» O) of seajoa 2 Act shall be the officers in defwlt. (4) Upon receipt of the Fcrm DIR-9 under sub-tule (2), the Registrar Shal] immediately register the im the document file for mabtic invecüon. (5 Any applicatim for removal of disqualification of dircbors be made in FM-n DIR-IO. IS. Notice or rsignation ot direetor,- Ihe company shall within LhiNy days from the of receipt Of resignation from a direct(E, intimate the Registrar in Form DIR•12 and post the information on its website, if my

16. Copy of resignation of dlrector to be forwarded by him.-_Where a director resig•s his ofTee, he shall wiåin a period of thirty days from the date of resignation, forward to the Registrar a copy of his resignation along wit' •was tie resignation in

17. Register ot directors and key mauagerial personneL- (I) Every ctnpany shall keep at its omce register oc its directors and key nunagerial perxnnel gmtaining the following Brticulars, narnely:- (a) (g) Director Identification Number (optional for managial personnel); present name and surname in full; any fbrmer name or surname in full; father's name, mother's nanr end spouse's name(if married) and surnames in full; date of Nrth: residential address (proent as weii permanent); nationality (including the nationality of origin, if different); (h) O) (k) (m) (n) occu*m; of the bord esolün in wblgh wu date ot appointment Md in t" mmpuy; date of casation of omge reasms omce of dirctor key beld relinquiåd in any capoae; awnbenhlp num"t of the Initute of Com—of [Mia in of Compuy Secretary, If aniinbie; and Permanent Accwnt Number (mandat0d for key p.oaml if Hying DIN): (2) In addltim to the details ofttw directors key managerhl pemnnel, the •Iso inc" In be afcesaid Register the details of seariti— held by them in the c«pty, subsidlui% *Idiati" of lhe company's holding company and associate relating to• (a) the numb', descrWion and nominai value of •ecuriti«; (b) the date of and vice or paid; (C) date of disposal and and consideratim rceived; (d) cumulative balance and number of aecurjUe• held aner exh (e) (f) (g) of acquisition of securities ; of hoiding — physteal or in denier-ialiud fcmn; •nd whegher securities have been pledged or eneum&ance has b•— creäed m ule securitiz 18. Return containhg the particulars ot directon •nd the key mu.eri•l - A tum containing the particulars of appointment of drector or key managerial personnel and changes thaein, shell be fifed .with the Rzgiso•ar in

Form DIR-12 aiong with such fee as may be in the Companies (Registration Offices nd Fez) Rules, 2014 within thirty days of such appointment or change, as the case may be. 6 42 THE GAZETTE OF INDIA : EXTRAORDINARY Form DIR-I [PART "—SEC. 30)) Application for inclusion of name in the databank of Independent Directors [Pursuant to section 1.50 of the Act and rule 6(4) of Compaües (Anointment and Qualification of Directors) Rules, 20141 PART — A ; Information and Particulars to be üven at the time of Application A. Personal Details 2. 3. 4, 5. 6

7. Name Gender Date of Birth • Nationality Residential Address E-Mail ID Phone or Mobile Number B. Educationel Details l. Qualifications 2. Details of Training undergone, if any C. Professional Details and work experience . Brief description of professional career and expertise 2. Current Position Current job profile 3. Total years of experience Past work experience 5. H-•g 3(i)] 43 Name of the Date Organisation joining or Company Details of Board positions of Date leaving of Nature of job responsibilities duties performed or or D. 2. 3. . List of companies in which directorship held during the past five years Number of current directorships held Number of current memberships of Board committees held E. Other information, if any, considered relevant Part — B: Changes ii the information m• particulars, if any, to be given subsequently (a) Earlier Information or particulars given vide

Form dated (b) New information or particulars Date: Place: Signature: Name: 44 THE GAZETTE OF INDIA : EXTRAORDINARY Form DIR-2 Consent to act a director of a company [PART 30)] (Pursuant to section 132(3) and rule 8 of Companies (Appointment and Qualtßcatton of Directors) Rules, 2014] To • of the company) (Address of the company) Subject: Consent to act as a director. , hereby give my consent to act as director of .... (name of the company), punuant to sub-section (5) of section 152 of the Companies Act, 2013 and certify that I am not disqualified to become a director under the Companies Act, 2013. Director Identification Number (DIN): 2. Name (in 6.111): Father's Name (in full): 3

4. Address: E-mail id: 5

6. Mobile no. Income-tax PAN 7. Occupation: 8. Date of birt.h: 9. O. Nationality: I I. No. of companies in which I am already a Director and out of such companies the aarnes of the companies in which I am a Managing Director, Chief Execudve Officer, Whole time Director, Secretary, Chief Financial Offcer, Manager. . Particulars of membership No. and Certificate of No. if the applicant is a member of any professional Institute. Specifically state NIL if none. 3(i)) 45 Declar.don declare that I have not ben convicted of any offence in cormection with the promotion, formation or management of any company or LLP and have not been found guilty of any fraud or misfeasance or of any broch of duty to any company under this Act or any previous comßny law in the last five years. I further declare that if appointed my total Directorship in all the companies shall not exceed the prescribed number of companies in which a person can be appointed as a Director. Signature:..... Designation:... 4 Date: Place: Attachments : l. Proof of identity; 2. Proof of residence; 46 NE GAZETTE OF INDIA : EXTRAORDINARY [PART 3(i)]

FORM NO. mR.3 iPurgnant to section 1S3 of The Companies Act, 2013 & Rule 9(1) ot the Compaüs (Appointment and Qualific"ion of Directors) Rules, 2014 & Rule ofUn,ited Liability ! Partnership 20091 Apptk•do. for •Ihiment of Director identiOc•tia• Number V! ONI All fields marked in • are to be filled Income-tax Permanent Name (tncomg.nx PAN) is mandatory in case of Indian nationals in swh case applicant 'ktaiis should be as per income.iax PAN, In case the details per Income-tax PAN are applicant is advised to first correct the details in It»ome-tax PAN. ufer instruction kit for details. In case of foreign nationals, Passport number is mandatory. 1 _ • Applicant'S name tEMtr tull name and do not use abbrevtauons) First name (b) Last name Meddle name 2. Fathers name Even married women must Ivo tathers name First name cast name KkddEe name ' Whether a Citizen ot india Yes Nationalitv Whether resident in India o Yes o No Photograph (Attacn a htest passpm Size photogr* by above box) Remne 6. (a) *Occupation Type o Self-em Io ed a Professional o Homemaker o Student D Serviceman Area of Occupation If •other • selected, speciö' (b) Educa'ional qualification (DD/MM/YYYY) N _ 'Date of birth Gender o Male o Female o Transgender 18 9 Ill. , 12 Place of binh Inccme-Tax permanent account number Voter's identity card number Passport au.rnber 3. Driving license number cqm 3(1)) 14. Aadhaar 15. Permanent residential address •state • iSO country code Counry Phone •e-mail ID 47 *Pln coe 16. *Whether reshtiat address is same as residmti.t o Yes o No 7 _ Present a&ess Lhe State ISO country Cwntry *one per DIR.' 4. OpEonal .uchm.nqs) - i' amy *To be Digitany sigaed by Applic•nt Ph coæ au-ohms" DSC Certinealin C] declare that I Inye been duly engaged for the purpose of of this form It is h«eby that: *I have satisfied myself about the identiw of applicant based on pensal of the otigirul of the aüEhed docu*nt Note: tn case where the applicant is residing outside India the paniculan have to be veined from the documauts duly attested by the anesting authority I also verify having •nested die pintoyaph of person: 48 GAZETTE OF [NDIA : EXTB.AOB.DINARY who iJ penmally known to nw; or O who nwt me In pmon along with of atend •It is u•ther certin•d that •11 required achrnnt' have ben camplüly unched to •It is Mher eeNi6•d that the applicant hu • yeriflcatbn 6m DIR.4 *leh l' D •i have gone through xovi'iuu of Compaabs Act, 2013 Ruk and matters incldent•l thereto and I haw vrlßed åe above pmlculus ("eluding recod maintained by dwCmnp•ny/apllcnt which i' subject mm and fwnd complete Md ag material to fMm hu been suwe»d. frther certl& that: • Alt mulnd aaaghments have been completely Inibly att"hed to 6m; •t uve kept a copy of thig •nd auehmmtL In my NCOtd' för 'it i' uMewtood thar 'ball be liable for uror Sedan 449 otth' Compuies Act. 2013 eertmcatlon. if any found at any •tap. "To be digitally Signed by DSC 4 Category tn ease of eb•rtered •ccou•tant or company or cod accountant (l' 'hob the pretee) Membership Nunber Certificate of Practice Number Whether AS"ciue or fellow o Associate o MIOW • case of company werenry (in whole tire employment) or dlr•ctor of '*ting l' whieb t" to be dlr•etor DIN of the Director or membership of Company Secretary of company with which secretary or director i' associated and v, i!ich applicant is proposed to be e director Name 01 company Note: Attention drawn to prwbions ot Section 448 •nd 449 whiå pmid• rar certificate and punbbme•t ror "Idenee respctlvdy. office use "Ily : eFerm Semæ si*utur• wth"is. e.F«m is This e.Fcrm r*äd D•te of signing AMx eFwm Cm•fin 'DDBWYYY) garm has been "-e maintal'*d the '*tzar of the of o' correctnes the 11-uo•e 3(i)] 49 Ponn DIR-4 Verinc<tion of applicant tor application for DIN (Pecsuant to secdon 153 and rule 9(3)(a)(iv) of Complies (Appointment and Qualification of Directors) Rules, 2014] 1, Son'/ Daughter* of bom on resident of hereby confirm and veriö' that the particulars given in the Fonn DIR-3 are and also are in anement with the documents being attached to the Form DIR-3. I her±y confirm and declare that I. The photogaph and documatts being attached to the Form DIR-3- belong to me. further confirm that all required documene have been duly certified by the respective govemment authority and are being attached to the Form DIR-3, and 2. I am not restrained, disqualified, of, for being appointed as director ofa company under the provisions of the Companies Act, 2013 including sections 164 and 169, and 3. I have not been declared as proclaimed offender by any Economic Offence Court or Judicial Magistrate Court or High Court or any other Court, and 4. I have not been already allotted a DiNctor Idåltification Number (DIN) under section 54 of the Companies Act, 2013, and S. I shall be liable under section 448 of ute Act and under the relevant provisions of the Indian Penal Code, 1860 and any other law as applicable, if any statement in this application is found to be false or any material fact is found to have been omitted. *Note: strike out is not applicable. Signature: (Name) 50 THE GAZETTE OF INDIA : EXTRAORDINARY Form DIR-S Application for surrender or Director Identlncatlon Number [PART II—SEC. 3(i)] [Pursuam to section 153 & rule I I C) of Companies (Appointment and Qualification of Director*' Rules, 2014] hoto

I. Applic»nt's name (Enter rull neme and do not use abbreviations) (a) First name: (b) Last name: (c) Middie name: 2. DIN of the applicant: 3. Reasons for surrender of DIN: 4. Income-tax permanent account number: (mandatory for Indian nationals) (Details of the applicant shall be as per Income-tax PAN) 5. And any one ofthe Ollowing a. Voter's identity card mmber b. Passport number c. Driving licence 6. Permanent residential (a) Address (b) City (c) State (d) Country Pin CDde (e) (f) Phone E-mail ID (h) Line n 1. Whether present is same as permanent residential address: O Yes 3(i)] 3. Prwent residential (previous resi±ntial addr=s, if residing at the present residential address fM than I year) (g) Fax 51 (a) Address (b) c,ty (c) Staæ (d) Country (e) Pin code (f) Phone E-mail id LiJk I Line 9. Other information. if any, which the applicant intends to submit with regard to this application: IO. Whaher the application is being digitally siped by the applicant himself: O Yes O No I hereby also declare duat (a) the information and ot}rr particulars given in this Form are true and corect. (b) I have never been aminted as director in any company and the DIN hu never been used for filing of any document with any authority. Signature of the applicant: Date Attachments: (l) Proof of Identity: Fw Indian Nationals: (Any one of the following): O O O O Income tax Pennonent Account Number Card Voter•s Identity card Passport Driving licence 52 TI-- Æ GAZETTE OF INDIA : EXTRAORDINARY O I&ntiy Number (UIN) For Forei"l Naion.ls Md Non Resid'it O Others (2) Proof of r—wmce: (tick ayinst the document being awlosed) O Voter's id'ltity cud O Passpml O Driving licmse O ElectriciV bill O Tel*me blik O Bank accoun stüment O Others • Please specify (3) if [PART IPSEC. 3(i)] Note:. AttN1tion is &awn to of 448 and 449 govide for false C1d false evidence. 3(i)] FORM NO. DIR.6 [Pursuant to rule 12 (I) of the Companies (Appoinünent and Qualidcadon of Directory) Rules, 20141 Note • • All flelds marked In • ar• to be mandatorily 53 Intimation of change in particulars of Director to be given to the Central Government - 'n cas• o! Indian n.t10n81g, Income-tax Account Namo (Income-tax PAN) rn.nd.tory in cases •ven It ther• Is no change •n income-tax PAN. •n such director should be as per PAN. In case the Income-tax PAN are Incorrect, dlr.ctor' deslgnated partner i' adv•sed to correct det•ll• In PAN.Ref•r kat rer 1 ' Oir.etor Number (DIN) Nemo 2 • Type 01 Date ot voters zentity card number E-mai (Z Photograph of Director F attz•'s n*rE Gender nwnber Natbnatlty PAN -l onvtng license numoer Cl pemamt residenu present res&ntjal auress Residential Status Aadhaar number varifleaNan •s per Form No. DIR.4 Vartncatlon as per Form NO. DZR.7 Enter information that needs to be corrected. Enter only the relevant field(S) 3. Director's name(Enter full nama •nd dc not use abbreviations) (a i First name Last name Middle name 4. Fathers name (Even martled women must g•ve gathers name) First name (i) j Last name (c) Middle name Whether a citizen of Indie 6 Nationelity 6A. Whether resident in India Yes Yes No 7. Date ot birth 8 Gender 9, Income tax PAN Maie Female o TransoeMer Photograph (Attach e let•st passport size photograph by clicking on above instruction kit fer details) Remove Photograph Verifv details 54 IO Voters ad ruy•ber 12 DrMng limse number 13

14. Mobile 15. Email ID 16. permanent residential address Line Line N State ISO county code County THE GAZETTE OF INDIA : EXTRAORDINARY 11. P—pM Pin cooe Fax (PART n—SEc. 30)) O NO 17. address is err* as pernWEnt resøernal Persent address Swt9 i SO eeuntry phone List or Attachmenb Attachments: 1. EProof cf change in particulars; 2. • COW of verification by the dlrector (n

Form No. DIR .7•, 3. Optanal attachments, if any. *To be digitally signed by Applicant yes Pin cod' Fox declare that have been duly engaged for the purpose of certification'verlflcation of this form. It is hereby that: ss *I have satisfied myself about the Identity of the applicam based on the perusal of the or19inal of attached docu- ment. Note: In case where the applicant is residing outside India the particuiars have to be verified from he documenb duiy attested by the attesting authority as prescribed. also verlfy having attested the photograph of the said person: o who is personally known to me; or owho meet me in person along with he 0@inal of the attested documents. *Ail required attachments have been completely attached to this application 'The appiicant has given a verification on prescribed form DIR.7 whlch is attached to this form. have gone through the provisions of The Companies Act', 2013 and rules thereunder for the subject matter Of this fom and matters inddental thereto and I have verified the above particulars (including attachment(s)) from the origi- nal records maintained by the Company/applicant which is subject matter of this form and tund them to be true, cor- rect and complete and no Information materialto this form has been suppressed. further certify that: *All the required attachrnents have been compieteiv legibly attached to this form; have kept a copy of this form and attachments thereto, in my records for future •reference. *It is understood that I shall be liable for action under Section 448 of The Companies Act, 2013 ßr wrong cer- tlficancn, if any found at any stage. *To be digitally signed by * Category *Whether associate or fellow " Mem bership Number * Certificate cf Practice Numbe; O As so-ciate O Fellow Submi Note: Attentlon Is drawn to provisions of Sectlon 448 and 449 which provide for punishment for false statement certificate and punishment for false evidence respectlvelv. For office use only : eFm•n Serve request number (SRN) Digital signature of the authorising omeer This e.Fm-n is hereby approved This e-Fqrn is hereby rejected Date or signing Mx fling details eForm filirw date Confirm (DO/MUYYYY) OR (ODIMWYYYY) This e-Form has been taken on file by the of eomp•nles through eleetronie mode and on the basis of statement of correctness iven b the com •n . 56 THE GAEITE OF INDIA : EXTRAORDINARY Form DIR 7 Verlflcatlon ot applicant for change In DIN particulars [PART U—SEC. 3(i)] [Pursuant to rule of Companies (Appointment and Quallficatlon Directors) Rules, 20141 Affx recent photo 1, . Son*/ Daughter* of . born on . resident of . hereby confirm and verify that the partlcuiars given In the Form DIR-6 are true and also are In agreement wlth the documents belng attached to the Form DIR-6. I have applied for change of Applicant's:- a C] o a a a name Nationality Date of birth Income-tax permanent account number Voter's Identity card number Passport number Driving licence number Permanent residential address Present resldential address E-mail id (along with proof and in case of change of applicant's name or date of birth, a copy of notification published In the Gazette) I further confirm and declare that Il—uuz a. The photograph and documents being attached to the Form DIR-6 belong to me. I further confirm that all required documents have been duly certified by the respective government authority and are being attached to the Form DIR-6 and b. I am not restrained, disqualified, removed of, for being appointed as director of a company under the provisions of the Companies Act, 2013 Including sections 164 and 169t and I have not been declared as proclaimed offender by c. any Economic Offence Court or Judicial Magistrate Court or High Court or any other Court, and d. I have not been already allotted a Director Identification Number (DIN) under section 154 of the Companies Act, 2013, and I shall be liable under section 448 of the Act and e. under relevant provisions of the Indian Penal Code, 1860 and any other law as applicable, if any statement in this application is found to be false or any material fact is found to have been omitted. *Note: strike out whichever is not applicable. Signature: (Name) 8 57 58 THE GA.ZErrE OF INDIA : EXTRAORDINARY FORM 'O'R-e• Intimation by Director [PART 11—SEC. 4) [Pursuant to Section 164(2) and rule 14(1) of Companies (Appointment and Qualification of Directors) Rules, 20141 Registration No. of Company Nominal Capltal Rs. Paid-up Capital Rs. Name of Company Address of its Registered Office To The Board of Directors of 1 son/daughter/wife of resident of dlrector/managing dlrector/manager in the company hereby give notice that I am/was a dlrector in the following companies the last three years: - Name of Cgmqeny 1..... Apnintment Cessation I further confirm that I have not incurred disqualification under sectlon 164(2) of the Companies kt, 2013 in any of the above companies, in the previous flnanclai year, and that I, at present, stand free from any disqualification from belng a dlrector. or 3(i)] 59 I further confirm that I have incurred disqualifications under sectlon 164(2) of the Companies Act, 2013 in the following company(s) in the previous financial year, and that I, at present stand disqualified from being a director. "Eme of the company Pa.te_Qf Appointment 1..... 2..... Dated this Cessatlgn Signature (Full Name) day of 60 THE

GAZEHE OF INDIA : EXTRAORDINARY FORM Report by the company to Registrar [PART U—SEC. [Pursuant to Section 164(2) read with rule 14(2) of the Companies (Appointment Qualification of Directors) Rules, 20141 Registration No. of Company Nominal Capital Rs. Paid-up Capital Rs. Name of Company Address of its Registered Office To The Registrar of Companies, It is hereby reported under section 164(2) of Companies Act, have failed to (i) file the financial statements returns for the last three financial years, or (ii) repay deposits or thereon on due date being or redeem its debentures or pay dividend declared by the company since both. The period of one year has expired on The name an*ddress of directors at the relevant period are as under (a) Director's name in full, without abbreviations 2013 and annual pay Interest on due date (b) (c) (d) 11-008 3(i)] Director's name as per company's records (abbreviations may be expanded and shown) 61 Address of the Director : - (i) Permanent (i') Present Positions held by the director in the last 5 years, prior to disqual$ätion: Dated this Signature Designation* day of *State whether Director, Managing Director, Mahager or Secretary 62 THE GAZETTE OF INDIA : EXTRAORDINARY FORM [PART 11—SEC 301 FORM OF APPLICATION FOR REMOVAL OF DISQUALIFICATION OF DIRECTORS [Pursuant to Section 164(2) read with rule 14(5) of Companies (Appolntment and Qualification of Dlæctors) Rules, 20141 Registration No. of Company Nominal Capital Rs. Paid-up Capital Rs. Name of Company Address of its Registered Office Grounds under which director(s) are disqualified Date of disqualification Details. of the application Dated this Signature Designation* day of *State whether Director, Managing Director, Manager or Secretary L gm 3(i)] FORM NO. DIR.II {Pursuant to proviso to section 168 (1) of The Companies Act, 2013 and rule 16 of the Companles (Appotntment and Qualification of Dlæctors) Ruks, 2014] 63 Notice of reslgnatlon of a director to the Registrar Form I•nguage (Radlo button) o Engllsh o Hindi Refer the instruction klt for Ning the form. Notice iS hereby 91ven that I, the director of M/s have restgned from the o ce of director of the company with effect from the details of which are given below: 1. Details of tne company (a) *CIN (b) GLN (c) Name of the company (d) Registered office address (e) Email ID of the company 2. Details of the director resigning from such company (a) Director IdentificatiOn Number (DIN) (b) Name 3. (a) Date of appointment (b) • Designation (c) r Category (d) DIN of the director to whom the appointee was alternate Name of the original director 4. (a) *Date cf filing of resignation with the company (b) Effective date of resignanon specified in the notice Of res gnation• i any ! S. *Reasons for resi nation 6. • Whether confirmation is received from the company Attachment(s) (1) V Notice of resignation Wed with the company; (2) *Proof of dispatch; Acknowledgement received from com an O Yes O No Attach Attach Attach 64 (4) Optional attachment(s) — if a THE GAZETTE OF INDIA : EXTRAORDINARY Declaration "—SEC dc solemnly declare that to the best of my/ our knowledge and belief the Information fiven in thS raum is correct and compiete. b• by Director DIN Note: Attention l' aloo drawn to prov"ions of Section 448 and 449 which punishment Tor false statement •nd punishment 'or false evidence Th' h" been taken m fie by the registrg cmv•nies through b"i• of •tatem•nt of given by the 3(i)] FORM DIR-12 [Pursu•nt to sections & 170 of the Companies Act, 2013, rui• 17 of (IneorpmBon) Rule' 2014 and rule 8, iS & 18 ot Companies (Appolnem•nt •nd of DIN-ctors) Rules, 2014] English Fom "f. the Wt f. t-t— 65 Particulars of appointment of directors and the key man.gerial personnel and the changes •mong them 1. •This Now empany Exisång cmpmy 2. (a) •Form number (Service r•qwst number (SRN) FormNo. ".1) 01 id•ntity nutb•r (CIN) of comp-My loe•tion number (GLN) eomp•ny 3. (a) Nany of the company Address of tho Otft• the company (c) e-mail IO the wnpany 4, Number OT Dlrecter. alrectartsi Tor whieh is S. or me Managing Director, directors of company Details the Dlrector or director ot the company Dir.ctw number {DIN) Fathers Present resontial addr•ss Nationality Gender Appointment Category Date 01 birth Change i" des'nation af »POimmeM or in 65 THE GAZETTE OF INDIA : EXTRAORDINAR9 [PART 11 —SEC. 30)] Whetha chairmen, .x•cutiw direct%. non-execuüv• director Ü Chaimwn Exxutiy. ditætu Na-l.executive director DIN of the di&.ctor to whm appoint* is Narne of the to wim the appent.e is alternat' Name of the compmy o' institutim w•nine. the apoint•• it 'D director In case ot cessatlon multion•d Marwging O'ectgr is not associated company with "ect Interest in other entities Number of such entities *CfN/LLPlN/FCRN/Registration number due to *Name *Address Nature of ineterest *Designation Persentage of Shareholding Others (specify) Amount 6. Number of manager(s), secreæy($), Chief Finemäl or ct•iet Executive Officer Tor which the torm Is being med 7. Details Of the manager, secret", Chief Officer of the company Director dentification Number(DlN), if any permanent accQunt num"t (PAN) O Appointment O Cessation Munb.rship number of sectøtery Fir" name Middie name Last nan* C If-wg 3(i)]

First rw•ne Middle rnme Lest name Present resideMel oddtess Line 11 City State ISO coun" code Counuy P tnne Date ot biFfi Oesgnatbn 67 (00 IMMIYYYY) Pin code (DCYMWYYYY) "Pomnent or cessation e-mai iD Attachm•nb List of (1) Letter of Appointment; (2) Declaration by nrst director; (3) Consent of the appointee director in Form No. DIR-2; (4) Notice of resignation; (5) Evidence of cessation; (6) Interest in other entities; (7) Optional attachment(s), if any Declaratlon a person named in the articles as a (Drop down: director / manager / company secretary) of the company; or have been authorized by the Board of Directors of the Company vide resolution to sign thls form and deciare that ail the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiied with. I also 68 THE GAZETTE Of INDIA : EXTRAORDINARY [PART "—Sc. declare that all the informatlon glven herein above Is true, correct •nd com*te the attachmens to this form and nothing nyterlal has been suppresed. be dipitaity signed by *Designation *DIN of the director; or DIN or PAN Of Ehe manager or CEO or CFO•, or Membership number Of the secretary [F. No. 1/22/2013-CL-VI RENUKA KUMAR, Jt. secy. Printed by the Manager, Government of India Press. Ring Mayapuri, New Delhi-1 10064 Mid Published by the Controller of Publicatims, Delhi-1 10054.