Regd. No. CHD/0093/2015–2017

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No. 125-2017/Ext.] p.Mhx<+] eaxyokj] fnukad 18 tqykbZ] 2017
¼26 vk"kk<+] 1939 'kd½

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Øekad	fo"k; oLrq	i`"B
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Hkkx **III**	izR;k;ksftr fo/kku	
	vf/klwpuk la[;k dkåvkå&51@gåvå 32@2014@/kkå 19@2017] fnukad 18 & gfj;k.kk foRrh; LFkkiuk esa fu{ksidksa ds fgrksa dk laj{k.k fu;e] 2017	473&48
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Hkkx **IV**	'kqf)&iphZ] iqu% izdk'ku rFkk izfrLFkkiu dqN ughaA	

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la[;k dkåvkå&51@gåvå 32@2014@/kkå 19@2017-& gfj;k.kk foŸkh; LFkkiuk esa fu{ksidksa ds fgrksa dk lja{k.k vf/kfu;e] 2013 ¼2014 dk gfj;k.kk vf/kfu;e 32½] dh /kkjk 19 }kjk iznŸk 'kfDr;ksa dk iz;ksx djrs gq,] gfj;k.kk ds jkT;iky] blds }kjk] foRrh; LFkkiuk esa fu{ksidksa ds fgrksa ds laj{k.k ds fy, fuEufyf[kr fu;e cukrs gS] vFkkZr~ %&

1- ¼1½ ;s fu;e gfj;k.kk foŸkh; LFkkiuk esa fu{ksidksa ds fgrksa dk laj{k.k tk ldrs gSaA laf{kIr uke A

2- ¼1½ bu fu;eksa esa] tc rd lUnÒZ ls vU;Fkk visf{kr u gks(ifjHkk"kk,aA

¼d½ ^^vf/kfu;e** ls vfÒizk; gS] gfj;k.kk ¼foŸkh; LFkkiuk esa½ laj{k.k vf/kfu;e] 2013(¼2014 dk 32 ½(

¼[k½ ^^ifjlEifŸk** ls vfÒizk; rFkk blesa 'kkfey gS] ,sls O;fDr dh py rFkk vpy nksuksa lEifŸk] 'ks;j] vU; izfrÒwfr;ka rFkk cSad esa tek ;k fdlh O;fDr ls ns; /ku (

¼x½ ^^iz:i** ls vfÒizk; gS] fu;eksa ls layXu iz:iA

¼2½ bu fu;eksa esa iz;qDr] fdUrq vifjÒkf"kr 'kCnksa rFkk vfÒO;fDr;ksa ds ogh vFkZ gksaxs tks mUgsa Øe'k% bl vf/kfu;e esa fn, x, gSaA

3- ¼1½ izR;sd foŸkh; LFkkiuk] tks bu fu;ekas ds jkti= esa vf/klwpuk dh jkT; esa ,sls :i esa viuk dkjckj izkjEÒ djrh gS ;k lapkfyr djrh gS] iUnzg fnu dh Hkhrj iz:i&1 esa ftyk ds dyDVj rFkk iqfyl v/kh{kd dks fjiksVZ djsxkA foRrh; LFkkiuk dh fjiksVZA

¼2½ izR;sd foŸkh; LFkkiuk tks bu fu;eksa ds jkti= esa vf/klwpuk dh frfFk ls iwoZ ,sls :i esa viuk dkjckj lapkfyr dj jgh gS] ianzg fnu dh vof/k ds Hkhrj iz:i &1 esa ftys ds dyDVj rFkk iqfyl v/kh{kd dks ,slh fjiksVZ djsxhA

¼3½ izR;sd foŸkh; LFkkiuk iz:i&1 esa izLrqr fd, x, fdlh C;kSjs ds lEca/k esa] fdlh ifjorZu dh n'kk esa] ifjorZu fd, tkus ds ckn lkr fnuksa dh vof/k ds Òhrj ftys ds dyDVj rFkk iqfyl v/kh{kd dks fjiksVZ djsxhA

f'kdk;rdrkZ rFkk lkf{k;ksa bR;kfn dh tkap&iM+rkyA /kkjk 6-

4- l{ke izkf/kdkjh f'kdk;rdrkZ vkSj xokgksa] ;fn dksbZ gks] ;k fdlh Òh O;fDr LFkkiuk ds ml inkf/kdkjh ;k deZpkjh dh tkap dj ldrk gS] tks mldh jk; esa foŸkh; LFkkiuk ds laca/k esa dksbZ tkudkjh nsus esa l{ke gks] ftlds lEcU/k esa ftyk eftLVªsV }kjk dqdhZ dk vkns'k fn;k x;k gS rFkk ,slh tkap fyf[kr esa vfHkfyf[kr dh tk xh A

foŸkh; LFkkiuk }kjk fooj.khA /kkjk 10

5- izR;sd foŸkh; LFkkiuk] foŸk o"kZ dh izR;sd =Sekfld dh lekfIr ds ckn ,d mlesa C;kSjs rFkk jkT; ds Hkhrj rFkk ckgj mlds nh xbZ /kujkf'k ds fuos'k dh n'kkZrs gq, iz:i&II esa ftys ds dyDVj rFkk iqfyl v/kh{kd] ftldh vf/kdkfjrk ds Hkhrj dkjckj dk LFkku vofLFkr gS] dks =Sekfld fooj.kh izLrqr djsxh rFkk tgka foRrh; LFkkiuk ds jkT; esa ,d ls vf/kd LFkkuksa ij dkjckj vofLFkr gS] dh n'kk esa ftys ds dyDVj ;k iqfyl v/kh{kd ftldh vf/kdkfjrk ds v/khu ml }kjk ;Fkk ?kksf"kr eq[; dk;kZy; vofLFkr gS] dks fooj.kh izLrqr djsxhA

ljdkj dks f'kdk;r Òstus gsrq vof/kA /kkjk 4¼3½-

6- vf/kfu;e dh /kkjk 4 dh mi/kkjk ¼1½ ds [k.M ¼1½ ds v/khu f'kdk;r izkIr eftLVªsV ,d ekl dh vof/k ds Hkhrj ljdkj dks ,slh f'kdk;r Òstsxk A

tCr dh xbZ /kujkf'k rFkk dqdZ lEifŸk rFkk muds fuiVku ds fjdkWMZ dk

7- ¼1½ tgk¡ vf/kfu;e dh /kkjk djus ls iwoZ 'kh?kzrk ls ;k izkd`frd :i ls fo?kVu ds v/;/khu gS rks l{ke izkf/kdkjh lkoZtfu gS vkSj fcØh vkxkeksasa dks vf/kfu;e dh /kkjk 6 dh mi/kkjk ¼3½ds [k.M ¼[k½ esa ;Fkk U;k;ky; dks bldh lwpuk nsxkA

¼2½ l{ke izkf/kdkjh vf/kfu U;k;ky; ds vkns'k }kjk bl izdkj dqdZ dh xbZ lEifŸk dh fcØh ls izkIr vk;] foØ; vkxeksa ;k mi fu;e&¼1½ ds v/khu lEifŸk dh fcØh rFkk izkIr] izcfU/kr vkSj fuiVku dh xbZ lEifŸk ds fy, izksnHkwr [kpZ dk fjdkWMZ Òh j[ksxk vkSj le;≤ ij] inukfer U;k;ky; dks bldks izLrqr djsxk A

pwddrkZ foŸkh; LFkkiuk ds ys[kk&iqLrd nLrkostksa dh lqjf{kr vfÒj{kkA /kkjk 6-

8- l{ke izkf/kdkjh inukfer U;k;ky; ds vfUre vkns'k tkjh gksus rd rFkk vihy ds tSlh Hkh fLFkfr gks] mPp U;k;ky; ;k loksZPp U;k;ky; ds vkxkeh vkns'kksa rd pwddrkZ foŸkh; LFkkiuk ds lHkh vfHkys[kksa@iqLrdksa@dkxtkrksa vkSj

pwddrkZ foŸkh; LFkkiuk ds ys[kksa dk ys[kk&ijh{k.k A /kkjk 19-

9- l{ke izkf/kdkjh iz;kstu ds fy, lE;d :i ls fu;qDr pkVZMZ vdkÅaVsaV ds pwddrkZ foRrh; LFkkiuk ds rqyu&i«k rFkk ykHk vkSj gkfu fooj.kksa dks ys[kk&ijhf{kr djokus ds fy, mŸkjnk;h gksxkA

lEifŸk ds fu/kkZj.k ds fy, izfØ;kA

10- ¼1½ l{ke izkf/kdkjh pwddrkZ foŸkh; LFkkiuk dh ifjlEifŸk;ksa fu{ksi fu/kkZj.k ds fy, iath—r ewY;kadd fu;qDr djsxkA

¼2½ mi&fu;e ¼1½ ds v/khu bl izdkj fu;qDr iath—r ewY;kadd jkT; ljdkj ljdkj ds iSuy ij gksxh A

¼3½ Òwfe ds ewY;kadu ds ekeys esa] cktkj dher] fu/kkZj.k ds fy, mi;ksx fd;k tk,xk rFkk fdlh Òh n'kk esa] cktkj dher ljdkj }kjk ;Fkk vf/klwfpr dyDVj nj ls de ugha gksxhA

¼4½ vU; ifjlEifŸk;ksa ds ewY;kadu gsrq] cktkj dher voewY;u dks ?kVkdj ;k ifjlEifŸk;ksa ds iqu% ewY;kadu dk mi;ksx fd;k tk,xkA

ç:i & **I**

¼nsf[k, fu;e 3½

foŸkh; LFkkiuk dh

fjiksVZ lsok esa

dyDVj---------- ¼ftyk½

rFkk

iqfyl v/kh{kd ---------- ¼ftyk½

1-	foŸkh; LFkkiuk dk uke%	
2-	iathdj.k izkf/kdkjh dk uke rFkk irk%	
3-	fofu;ked dk uke rFkk irk%	
4-	fofu;ked ds iathdj.k izek.k i«k dh la[;k rFkk fnukad	
5-	frfFk lfgr iathdj.k@fuxehdj.k la[;k	
6-	,slk dkjckj djus ds izkf/kdkj ds ckjs esa foLr`r	
7-	jkT; dk uke ftlesa foŸkh; LFkkiuk iath—r gS%	
8-	iwjk irk% ¼d½ iath—r dk;kZy;] fiu uEcj] nwjÒk"k uEcj ¼k½ eq[; 'kk[kk	
9-	foŸkh; LFkkiuk ds ekeyksa ds izcU/ku gsrq mŸkjnk;h izR;sd	1 Jh ..... 2 Jh .....
10-	10- □□'kk[kkvksa@dk;kZy;ksa dh la[;k%	
11-	11- foŸkh; LFkkiuk ds ys[kk&ijh{kd dk@ds uke	
12-	12- foŸkh; LFkkiuk ¼LFkkiukvksa½ ds] cSadj	
13-	foŸkh; LFkkiuk ds foŸkh; ekeyk dk ns[kHkky dju oky O;fDr dk uke] irk ¼orZeku rFkk LFkkbZ½	

izekf.kr fd;k tkrk gS fd fjiksVZ esa fn, x, C;kSjs@lwpuk lR;kfir dj nh xbZ gS rFkk lÒh ekeyksa esa lgh rFkk iw.kZ ikbZ xbZ gSA

fnukad% izcU/kd@izcU/kd

funs'kd@ LFkku% izkf/k—r vf/kdkjh ds

gLrk{kj uke%

inuke%

□;fn foŸkh; LFkkiuk ds ekeyksa ds izcU/ku ds fy, nks ls vf/kd O;fDr mŸkjnk;h gSa] rks uke rFkk irk n'kkZrs gq, iz:i ls layXu lwph ns ldrs gSaA

□□ foŸkh; LFkkiuk dk 'kk[kk dk;kZy;@dk;kZy;ksa esa vofLFkr gS@gSa ds LFkku@LFkkuksa dk uke rFkk irk n'kkZrs gq, lwph layXu dh tkuh pkfg,A

iz:i &**II**

¼nsf[k, fu;e 5½

=Sekfld fooj.kh

foŸkh; o"kZ

..... =Sekfld dh lekfIr .....

1-	foŸkh; LFkkiuk dk ukeA	
2-	iathdj.k izkf/kdkjh dk uke rFkk irkA	
3-	frfFk lfgr iathdj.k@ fuxeu la[;k A	
4-	foŸkh; LFkkiuk dk iSu uacj vkSj izca/k funs'kd@v/;{k@izca/k fgLlsnkj@lh-bZ-vks-	
5-	funs'kdksa@Okxhnkjksa@ekfyd ds uke rFkk irsA	
6-	izca/kd@v/;{k@izca/k Òkxhnkj@lhbZvks@ekfyd ds uke rFkk irs ¼orZeku vkSj LFkk;h½A	
7-	dkjckj dk Lo:i A	
8-	cSdksa ds ukeksa] [kkrk uEcjksa vkSj cSadj ds irs dk	
9-	frekgh dh lekfIr ij cSadokj vkSj ys[kkokj 'ks"k jkf'kA	
10-	10- frekgh dh lekfIr ij foŸkh; LFkkiuk dh py@vpy lEifŸk;ksa dk foLr`r fooj.kA	
11-	11- uohure ys[kk ijhf{kr rqyu&i«kA	
12-	12- gfj;k.kk jkT; esa frekgh ds nkSjku tekdŸkkZvksa ls izkIr	
13-	gfj;k.kk jkT; ea frekgh d nkSjku _.kk ler vU; lkrks l ikIr	
14-	14- gfj;k.kk jkT; ls izkIr jkf'k esa ls frekgh ds nkSjku jkf'k dk iz;ksx@fuos'kA	
15-	15- ,sls mi;ksx@fuos'k@[kpZ dk fooj.kA	
16-	16- [kljk uacj vkSj IykV uacj bR;kfn lfgr jkT; ds Hkhrj vkSj jkT; ls ckgj ,sls fuos'k dk {ks«k vkSj vofLFkfr A	
17-	17- frekgh ds nkSjku l`ftr@vftZr ifjlEifŸk;ksa dk fooj.kA	
18-	18- jkT; ds Hkhrj tekdŸkkZvksa dks is'k ykO dh U;wure njA	
19-	19- jkT; ds Hkhrj tekdŸkkZvksa dks is'k ykÒ dh vf/kdre njA	
20-	tek jkf'k ij ykHk dh vkj Hkqxrku d] C;kSjs tls fd C;kt] ykÒka'k ;k dbZ vU; lsok,WaA	
21-	frekgh ds nkSjku jkT; d Hkhrj fuo'kdk@tekdŸkkvks dk ifjiDork jkf'k lfgr okil dh xbZ jkf'k dk fooj.k vkSj tgka ;g	
22-	22- frekgh dh lekfIr ij tekdŸkkZvksa dks Hkqxrku ;ksX;	
23-	23- frekgh ds nkSjku fuos'kdksa@tekdŸkkZvksa dks lkSaih xbZ lEifŸk tSls fd Òwfe@IykWV ;k ¶ySV ;k	
24-	24- frekgh ds var esa izca/k funs'kdksa@lhbZvks@ Òkxhnkjksa@ekfydksa ds cSad vfr'ks"kksa dks	

fVIi.k-&

¼1½ ubZ fuxfer foŸkh; LFkkiuk ds ekeys esa] ftlds ikl bldk ys[kk&ijhf{kr rqyu&i«k ugha gS] foŸkh; LFkkiuk dh fu;r rFkk pkyw ifjlEifr;ksa ds foLr`r C;ksjs nsrs gq, pkVZMZ vdkÅaVsaV ls lE;d~ :i ls lR;kfir izek.k&i«k layXu fd;k tk,A

¼2½ ;fn visf{kr gks] rks vuqyXud ds :i esa vfrfjä dkxtkr layXu fd;s tk ldrs gSaA

ih- jk?kkosUnzk jko]
vij eq[; lfpo] gfj;k.kk ljdkj]
foRr foHkkx A

(Authorised English Translation)

HARYANA GOVERNMENT

FINANCE DEPARTMENT

Notification

The 18th July, 2017

No. S.O.-51/H.A. 32/2014/S. 19/2017— In exercise of the powers conferred by section 19 of the Haryana Protection of Interest of Depositors (in Financial Establishment) Act, 2013 (32 of 2014), the Governor of Haryana hereby makes the following rules, namely:-

1. These rules may be called the Haryana Protection of Interest of Depositors (in Financial Short title.

Establishments) Rules, 2017.

2. (1) In these rules, unless the context otherwise requires,- Definitions.

(a) "Act" means the Haryana Protection of Interest of Depositors (in Financial Establishment) Act 2013 (32 of 2014);

(b) "asset" means and includes both movable and immovable property of such person, shares, other securities and money deposited in the bank or due from any person;

(c) "form" means form appended to these rules.

(2) The words and expressions used in these rules but not defined shall have the same meaning as respectively assigned to them in the Act.

3. (1) Every financial establishment which commences or carries on its business as such Report of financial

in the State of Haryana on the date of notification of these rules in the Official Gazette shall make a report to the Collector and the Superintendent of Police of the district in period of fifteen days.

(2) Every financial establishment which has been carrying on its business as such prior to the date of notification of these rules in the Official Gazette shall make such report to the Collector and the Superintendent of Police of the district in

(3) Every financial establishment shall report to the Collector and Superintendent of Police of the district, in case of any change in respect of any particular furnished in

Form-I within a period of seven days after the change has taken place.

Examination of complainant and witnesses etc. section 6

4. The competent authority may examine the complainant and the witnesses, if any, or any person or office bearer or employee of financial establishment who in his opinion shall be able to give any information about the financial establishment in respect of which an order of attachment has been made by District Magistrate and such examination shall be recorded in writing.

Return by the financial establishment. section 19

5. Every financial establishment shall furnish quarterly return within one month after the expiry of each quarter of a financial year in Form-II showing details therein and the location of investment of moneys made by it within and outside the State to the Collector and Superintendent of Police of the district within whose jurisdiction the place of business is located and in case where the financial establishment has more than one place of business in the State, shall furnish such return to the Collector or Superintendent of Police of the district under whose jurisdiction its head office as declared by him is located.

Time period for forwarding of complaint to Government. section 4(3)

6. On receiving a complaint under clause (1) of sub section (1) of section 4 of the Act, the District Magistrate shall forward such complaint to the Government within a period of one month.

Maintenance of record of money and property attached and disposal thereof. section 4

7. (1) Where any property attached under section 4 of the Act is subject to speedy or natural decay before institution of the proceeding, the competent authority may sell such property by public auction or otherwise and credit the sale proceeds in a bank account as mentioned in clause (b) of sub-section (3) of section 6 of the Act and shall intimate the same to the designated court.

(2) The competent authority shall maintain the record of all the income and sale proceeds received on sale of property so attached by the order of the designated court under sub-section (4) or sub-section (6) of section 10 of the Act or sale of property under sub-rule (1) and expenditure incurred for the property received, managed and disposed off and shall furnish the same to the designated court, from time to time.

Safe custody of books, papers and documents of defaulting financial establishment. section 6

8. The competent authority shall ensure safe custody of all the records/books/papers and documents of the defaulting financial establishment till the issuance of final order of the designated court and in case of appeal, till further orders of the High Court or Supreme Court, as the case may be.

Auditing of accounts of defaulting financial establishment. section 19

9. The competent authority shall be responsible for getting the balance sheet and profit and loss statements of the defaulting financial establishment audited through a Chartered Accountant duly appointed for the purpose.

Procedure for assessment of property . section 7

10. (1) The competent authority shall appoint a registered valuer for assessment of asset, deposit and liabilities of the defaulting financial establishment.

(2) The registered valuer, so appointed under sub-rule (1) shall be from the panel of State Government or the Central Government.

(3) In the matter of valuation of land, the market price shall be used for assessment and in no case the market price shall be less than the Collector rate, as notified by the Government.

(4) For the valuation of other assets, the market price less the depreciation or the revaluation of assets be used.

FORM-I

(See rule 3)

Report of Financial Establishment

To

The Collector,……(District)

and

Superintendent of Police……(District)

1	Name of the Financial Establishment:	
2	Name and address of Registering Authority:	
3.	Name and address of the Regulator:	
4.	Date and Registration Certificate Number of the Regulator:	
5.	Registration /Incorporation Number with date:	
6.	State in detail about the authority to carry on such business:	
7.	Name of the State in which the Financial Establishment is registered:	
8.	Full address: (i) Registered Office, PIN No., Phone No. (ii) Main Branch Office (if any):	
9.	Name, address (present and permanent) and PAN number of every Person * responsible for management of affairs of the Financial Establishment:	(1) Sri…… (2) Sri……..
10	** Number of Branches/Offices:	
11.	Name(s) of the Financial Establishment's Auditor and address:	
12.	Name(s) of the Financial Establishment's Banker(s) Name and addresses:	
13.	Name, address (present & permanent) and designation of the person looking after financial matters of the Financial Establishment:	

Certified that the particulars/ information furnished in the report have been verified and found to be correct and complete in all respects.

Date: Signature of Manager/Managing

Place: Director/authorised official

Name:

Designation:

* If more than two persons are responsible for the management affairs of the Financial Establishment they may give a list showing name and address appending to this Form.

** A list showing the names and address of the place(s) where the Branch Office(s) of the Financial Establishment is/are situated should be enclosed.

FORM-II

(See rule 5)

QUARTERLY RETURN Financial Year ……..

Quarter Ending ……

1.	Name of the Financial Establishment.	
2.	Name and Address of Registering Authority.	
3.	Registration/ Incorporation number with Date.	
4.	PAN numbers of the Financial Establishment and PAN &Aadhaar Number of Managing Director/ President/Managing Partner/Chief Executive Officer/ Proprietor.	
5.	Names and addresses of Directors/Partners/ Proprietor.	
6.	Names and addresses (present and permanent) of Managing Director / President /Managing Partner/Chief Executive Officer/ Proprietor.	
7.	Nature of business.	
8.	Details of Bank Names, account numbers and addresses of the Banker(s).	
9.	Bank-wise and Account-wise Balance as on the end of the quarter.	
10.	Details of movable / immovable assets of the Financial Establishment as on the end of the quarter.	
11.	Copies of latest Audited Balance Sheet.	
12.	Amount received during the quarter from the Depositors in the State of Haryana.	
13.	Amount received from other sources including loans during the quarter in the State of Haryana.	
14.	Amount utilized / invested and spent during the quarter from the amount mobilised in the State of Haryana.	
15.	Details of such utilization / investment/ spent.	
16.	Area and location of such investment within and outside State with details of Khasra number, Plot number etc.	
17.	Details of assets created / acquired during the quarter.	
18	Minimum rate of return offered to depositor within the State.	

19	Maximum rate of return offered to depositor within the State.	
20.	Details of payments towards return on deposited amount such as interest, dividend or any other services.	

21.	Details of amount refunded including maturity amount to the investors/depositors during the quarter within the State from where it was collected.	
22.	Amount payable to the depositors at end of the quarter.	
23	Details of properties such as land/ plots or flats handed over or other services rendered to the investors/ depositors during the quarter.	
24	Details of movable/immovable assets including bank balances of Directors/Managing Directors/Chief Executive Officer/Partner/Proprietor as on the end of the quarter.	

Note.—

(1) In case of a newly incorporated financial establishment, which does not have its audited balance sheet, aduly attested certificate from a Chartered Accountant giving details of the fixed and current assets of the financial establishment be enclosed.

(2) If required, additional sheets may be enclosed as *Annexure*.

P. RAGHAVENDRA RAO,
Additional Chief Secretary to Government Haryana,
Finance Department.

55504—L.R.—H.G.P., Chd.